## The physics of the Earth's atmosphere III. Pervective power.

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## Abstract

A previously-overlooked mechanism for energy transmission throughout the atmosphere is presented and characterised. This mechanism, which we have named *pervection*, involves the transmission of mechanical energy through a mass - in this case, the atmosphere. It is distinct from convection in that it does not require mass transport. It is also distinct from conduction in that conduction involves the transmission of thermal energy, not mechanical energy. The current atmospheric models assume that energy transmission in the atmosphere is dominated by radiation and convection, and have until now neglected pervection.

Experiments were carried out to measure the rate of energy transmission by pervection in air. It was found that pervection is rapid enough (up to at least  $39.4 \pm 0.9 \text{ m s}^{-1}$ ) to ensure the troposphere, tropopause and stratosphere remain in thermodynamic equilibrium. This contradicts a fundamental assumption of the current atmospheric models which assume the atmosphere is only in local thermodynamic equilibrium.

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#### Introduction 1 1

This paper is the third in a series of three com-2 panion papers reassessing our understanding of the 3 physics of the Earth's atmosphere. In Paper I[1], 4 we identified a phase change associated with the 5 transition from the troposphere (lower atmosphere) 6 to the tropopause/stratosphere (middle atmosphere). 7 We found when we accounted for this phase change 8 (as well as changes in water content) we were able 9 to quite accurately describe the atmospheric tem-10 perature profiles solely in terms of the thermody-11 namic properties of the bulk gases (nitrogen and oxy-12 gen). In Paper II[2], we concluded that this tropo-13 sphere/tropopause phase change involved the partial 14 multimerisation of the bulk gases. 15

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A surprising implication of Papers I and II is that 16 the trop pause and stratosphere appear to be in ther-17 modynamic equilibrium with the troposphere. This 18 contradicts a fundamental tenet of the current atmo-19 spheric models which assume that these parts of the 20 atmosphere are only in *local* thermodynamic equilib-21 rium, e.g., see Pierrehumbert, 2011[3]. 22

If the atmosphere were only in local thermodynamic equilibrium then the air in one part of the atmosphere could gain or lose energy relative to the surrounding air through radiative processes. As a result, the atmospheric temperature profile would be strongly dictated by radiative physics. However, if the atmosphere is in thermodynamic equilibrium (as our findings suggest), then any radiative imbalances which develop in one part of the atmosphere would be rapidly redistributed throughout the atmosphere. As a result, the atmospheric temperature profile would be a mere consequence of the thermodynamic properties of the bulk atmospheric gases.

If the troposphere, tropopause and stratosphere regions are indeed in thermodynamic equilibrium with each other, as we concluded from our results in Papers I and II, then this implies that there is some overlooked rapid energy transmission mechanism operating in the atmosphere which has been neglected by the current models of the Earth's atmosphere.

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There are three standard mechanisms for energy 43 transport which are usually considered - conduction, 44 convection and radiation. Conduction involves the 45 transport of thermal energy through a mass. The 46 "conductivity" of a mass is a property which depends 47 on the chemical nature of the mass<sup>1</sup>. Convection in-48 volves energy transport via mass transport, and is 49 usually described in terms of the types of energy be-50 ing transported - thermal, latent or kinetic. All mat-51 ter radiates energy as a function of its temperature. 52 But, the actual transmission of energy by radiation 53 does not require mass, and can occur in a vacuum. 54

Since air is a good insulator (i.e., poor conductor), 55 energy transport in the atmosphere by conduction is 56 essentially negligible. Therefore, the current atmo-57 spheric models assume that energy is mostly trans-58 ported throughout the atmosphere by either radia-59 tion or convection. Indeed, some early one- and two-60 dimensional atmospheric models were simply referred 61 to as "radiative-convective models" [4, 5]. 62

In this paper, we propose that there is an addi-63 tional energy transmission mechanism, which is rele-64 vant for energy transport in the atmosphere, but ap-65 pears to have been previously overlooked. This mech-66 anism, which we have named *pervection*, involves the 67 transmission of mechanical energy through a mass -68 in this case, the atmosphere. 69

Like the kinetic component of convection, pervec-70 tion also involves the transmission of mechanical en-71 ergy, however unlike convection, pervection does not 72 require mass transport. Like pervection, conduction 73 does not require mass transport, but unlike pervec-74 tion, conduction involves the transmission of thermal 75 energy. 76

In Section 2, we present the theoretical background 77 necessary to describe energy transmission by pervec-78 tion. In Section 3, we describe experiments we have 79 carried out to characterise the rates of pervection 80 through air. We present the results from these ex-81 periments in Section 4. Section 5 discusses the impli-82 cations pervection has for our understanding of at-83 mospheric physics, and in Section 6 we offer some 84 concluding remarks, and suggest possible directions 85 for future research into this energy transport mecha-86 nism. 87

## Theory: Mechanism for 2 pervective transport

In this article, we will be considering the different mechanisms by which energy is transmitted throughout the atmosphere. Much of this discussion will also apply to other fluids (including the oceans), but since this series of papers is about the physics of the Earth's atmosphere, we will mostly focus here on energy transmission within the Earth's atmosphere.

Before we begin our discussion, it is important to define some of the terminology we will be using. This will mostly comprise the terminology used in general thermodynamics books, e.g., Lemons, 2009[6]. Al-100 though, in the context of this paper, our definitions 101 will sometimes have a slightly different emphasis than 102 usual. 103

### 2.1**Background terminology**

A key concept in our discussion will be the distinction 105 between *internal energy* and *mechanical energy*. 106

Let us consider a system of particles, e.g., a mole of atmospheric gas molecules.

The internal energy of the system is the total en-109 ergy that the particles of the system have, relative to 110 the centre of mass of the system. It is equal to the 111 sum of the translational, rotational and vibrational 112 energies of the particles in the system. The temper-113 ature of the system (T) is a function of its internal 114 energy. If the system heats up, its average internal 115 energy increases, while if it cools down, its average in-116 ternal energy decreases. For this reason, we will also 117 refer to internal energy interchangeably with thermal 118 energy. 119

The mechanical energy of the system is the energy 120 that the system has relative to its surroundings. It is 121 equal to the sum of the *potential energy* of the system 122 and the *kinetic energy* of the system. The potential 123 energy of the system depends on its location in the 124 different energy fields. In atmospheric models, the 125 gravitational field is usually the only field considered, 126 although the magnetic and electric fields are also of 127 some importance<sup>[7]</sup>. Therefore, the potential energy 128 of the system is usually defined as mgh, where m129 is the mass of the system;  $g = 9.81 \text{ m s}^{-2}$  is the 130 acceleration due to gravity; and h is the altitude of 131 the system. The average kinetic energy of the system 132 is a function of its mass and its net velocity relative 133 to its surroundings (v), i.e.,  $\frac{1}{2}mv^2$ . 134

We will use the term *heat* to refer to any process 135

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 $<sup>^1\</sup>mathrm{In}$  this paper, "conduction" refers to thermal conduction, and not electrical conduction, although both mechanisms are related and substances that are good electric conductors are often good thermal conductors.

which alters the total internal energy of a system, and *work* to refer to any process which alters the total
mechanical energy of a system.

As mentioned in Section 1, an important concept for this series of papers is that of *thermodynamic equilibrium*. A system is in thermodynamic equilibrium, if the average energy content of the particles is the same throughout the system.

If the rates of energy transmission throughout the 144 system are too slow to maintain thermodynamic equi-145 librium, then the system might be only in *local ther*-146 modynamic equilibrium. In such a case, substantial 147 isolated pockets can develop within the system that 148 149 have an average energy content that is either below average or above average for the system as a whole. 150 In thermodynamic equilibrium, these pockets cannot 151 exist, because as soon as one part of the system starts 152 to go out of equilibrium, energy transmission will act 153 to rapidly re-equilibrate the system. 154

In the conventional description of the atmosphere, 155 it is assumed that the atmosphere is only in local 156 thermodynamic equilibrium. As discussed in Papers 157 I[1] and II[2], the greenhouse effect theory and strato-158 spheric ozone heating theory are explicitly based on 159 this assumption<sup>[3]</sup>. For instance, according to the 160 greenhouse theory, radiative absorption and emission 161 by infra-red active gases (e.g., water vapour, carbon 162 dioxide, ozone) keeps the troposphere warmer and 163 the stratosphere colder than would be the case under 164 thermodynamic equilibrium. 165

A corollary of the local thermodynamic equilib-166 rium assumption is that the energy transmission rates 167 throughout the atmosphere are too slow to maintain 168 thermodynamic equilibrium. Hence, if there is a fast 169 energy transmission mechanism in the atmosphere, 170 which has been overlooked (as we argue in this arti-171 cle), then this could explain why we found in Papers 172 I[1] and II[2] that the atmosphere is *not* just in local 173 thermodynamic equilibrium, but is actually in ther-174 modynamic equilibrium. 175

Now, let us consider the different relevant mechanisms for energy transmission. *Power* is the rate of
energy transmission (in units of Watts, W). Three
mechanisms for energy transmission involving heat
are known: *conduction*, *convection* and *radiation*.
However, convection actually involves several components:

- Transport of thermal energy  $(C_P T)$ , where  $C_P$  is the constant pressure heat capacity of the system)
- Transport of latent energy, e.g., due to changes

in phase or chemistry, or dipole effects (magnetic 187 or electric) 187

• Transport of the kinetic energy of the travelling 189 air mass  $(\frac{1}{2}mv^2)$  190

The first two components of convection involve 191 changes in internal energy. But, the third compo-192 nent (kinetic energy transport) involves the trans-193 port of mechanical energy. To emphasise this dis-194 tinction, in this discussion, we will refer to the first 195 two components collectively as enthalpic convection 196 and the third component as kinetic convection - al-197 though we recognise that some researchers prefer to 198 categorise convection into "latent heat" and "sensible 199 heat" components, e.g., Ref. [8]. 200

Aside from radiation, which is a mass-less energy 201 transmission mechanism and can operate in a vacuum 202 (e.g., space), the other energy transmission mecha-203 nisms require mass to operate. However, the role that 204 mass plays differs between mechanisms. In the con-205 vection mechanisms, the energy is transported with 206 the mass, i.e., energy transport occurs via mass trans-207 port. We will refer to this type of mechanism as 208 with-mass energy transmission. In conduction, on 209 the other hand, energy is transported through the 210 mass, without the mass itself having to move. We 211 will call this type of mechanism *through-mass* energy 212 transmission. 213

The previously-overlooked energy transmission 214 mechanism which we consider in this article is a 215 through-mass, work transfer mechanism. In keeping 216 with the Latin etymology of the term "convection"<sup>2</sup>, 217 we propose using the term "pervection"<sup>3</sup> to describe 218 this "through-mass" mechanical energy transmission 219 mechanism (as opposed to the "with-mass" mecha-220 nism of convection). The term pervection has already 221 been used in soil science for describing the move-222 ment of phytoliths (microscopic opaline silica parti-223 cles) through interconnecting soil pores, e.g., Hart 224 & Humphreys, 2003[9] (citing Paton, 1978[10]), how-225 ever we do not envisage the overlap between these 226 two fields will cause much confusion. The relation-227 ships between pervection, conduction and the differ-228 ent convection mechanisms are shown in Table 1. 229

It is quite straightforward to visualise how the <sup>230</sup> with-mass mechanisms occur - if a molecule has energy (internal or mechanical), and it moves, then it <sup>231</sup> can carry that energy with it. We can also understand the through-mass mechanism with a few simple <sup>233</sup>

<sup>&</sup>lt;sup>2</sup>Latin *com*- (with); Latin *vehere* (to carry)

<sup>&</sup>lt;sup>3</sup>Latin *per-* (through); Latin *vehere* (to carry).

	Transfer by	Transfer by
	heat	work
With-mass	Enthalpic con-	Kinetic convec-
	vection	tion
Through-	Conduction	Pervection
mass		

**Table 1:** Mechanisms for energy transport in the atmosphere, which involve mass as a medium for transmission.

analogies. 235

Figure 1 shows the popular "Newton's cradle" ex-236 ecutive toy in action. When the sphere on the far 237 right is manually lifted out of the cradle, it gains 238 mechanical energy (in the form of potential energy). 239 When it is released, the sphere falls back into the 240 cradle and transfers most of this mechanical energy 241 (now in the form of kinetic energy) to its neighbour-242 ing sphere. Shortly afterwards, the sphere on the 243 far left acquires most of this mechanical energy (still 244 in the form of kinetic energy), and leaves the cra-245 dle. The mechanical energy has been transmitted 246 from the sphere on the far right of the cradle to 247 the sphere on the far left. However, at no stage did 248 the two outer spheres come into direct contact with 249 each other. This means that the mechanical energy 250 has been transmitted *through* the mass of the inner 251 spheres, even though the inner spheres have them-252 selves remained in approximately the same place. 253

As another analogy, let us consider a stonemason 254 working on stone with a mallet and steel chisel. By 255 placing the chisel in contact with the stone, the mason 256 is able to transmit mechanical energy to the stone 257 through the mass of the steel chisel, by hammering 258 the chisel with the mallet. 259

Those two analogies illustrate how, in principle, en-260 ergy can be transmitted through the medium of a 261 mass, even if the mass remains in roughly the same 262 spot. Still, it might seem that this is of little rele-263 vance for the atmosphere, since the atmosphere com-264 prises a mixture of randomly colliding gas molecules, 265 and gases are of a much lower density than liquids 266 or solids. Therefore, initially, the well-constrained 267 system of solid metal spheres in the Newton's cra-268 dle might appear to have little in common with the 269 gaseous mixture of the atmosphere. However, as we 270 will discuss in Section 2.2, under certain conditions, 271 the atmosphere behaves like a rigid (technically, "in-272 compressible") body. So, under these conditions, 273



(b) 0.10 s



Figure 1: Snapshots from a video of the Newton's cradle executive toy after the sphere on the right is lifted and released.

through-mass energy transmission mechanisms such 274 as pervection may be important. Although, since air 275 is a good insulator, energy transmission via conduc-276 tion is essentially negligible within the atmosphere. 277

Before discussing the incompressibility of air, it is 278 worth elaborating on one aspect of our Newton's cra-279 dle analogy. Although the three inner spheres re-280 mained in roughly the same location throughout Fig-281 ure 1, they were *not* static. A close inspection of 282 the different frames in Figure 1 reveals that there 283 was some "jostling" between the three inner spheres 284 throughout the process, as energy was transmitted 285 between the spheres. 286

So, it is important to stress that, just like the with-287 mass mechanisms, the through-mass mechanisms do 288 involve the movement of particles. However, in with-289 mass mechanisms, the energy has to remain with the 290 moving particles. In through-mass mechanisms, the 291 particles involved in the energy transmission can re-292 main roughly where they were, after the energy has 293 been transmitted. In other words, net mass transport 294 is not necessary. 295

### 2.2Incompressibility of air

All materials are *compressible* to some extent, in that 297 when you "squeeze" or apply pressure to them, their 298 density will change. In general, this compressibility 200

is greatest for gases, much less so for liquids, and
almost negligible for solids. Like most gaseous fluids, air can be *compressible*. Indeed, sound is able to
propagate through air (and other fluids) because of
this compressibility.

Despite this, if the air temperature and composi-305 tion remains constant, the compressibility of air is 306 actually quite small. Unless the air is moving at a 307 high speed, it can be surprisingly well approximated 308 as incompressible. As a rule of thumb, aeronauti-309 cal engineers typically approximate the atmosphere 310 as being an "incompressible fluid" when the speed of 311 air (relative to its surroundings) is less than Mach 0.3. 312 The Mach Number (M) is the ratio of the air veloc-313 ity (v) to the speed of sound for equivalent conditions 314 315  $(v_{sound}),$ 

$$M = \frac{v}{v_{sound}} \tag{1}$$

It is only when  $M \gtrsim 0.3$  that air is considered a "compressible fluid". Since the conditions for incompressibility are important for our discussion, it may be helpful to briefly justify this approximation. For a detailed discussion of the basis for this approximation, the interested reader is referred to a standard aerodynamics textbook, e.g., Anderson, 1991[11].

<sup>323</sup> Consider a fluid at rest with a density of  $\rho_0$ . If <sup>324</sup> the fluid is compressible, then as the velocity of the <sup>325</sup> fluid increases, the density,  $\rho$ , should decrease. The <sup>326</sup> relative change in density  $\left(\frac{\rho_0}{\rho}\right)$  with increasing Mach <sup>327</sup> number can be calculated from the following equa-<sup>328</sup> tion:

$$\frac{\rho_0}{\rho} = \left(1 + \frac{\gamma - 1}{2}M^2\right)^{1/(\gamma - 1)}$$
(2)

<sup>329</sup> Where  $\gamma$  is a constant which relates the total energy <sup>330</sup> capacity of a fluid (in this case, air) to the internal <sup>331</sup> energy capacity of the fluid. For dry tropospheric air, <sup>332</sup>  $\gamma = 1.4$ . For a discussion of how  $\gamma$  is derived, and how <sup>333</sup> it varies under different conditions, see the Appendix <sup>334</sup> of Paper I[1].

Taking the reciprocal of Equation 2, we plot the 335 reduction in  $\frac{\rho}{\rho_0}$  with increasing Mach number in Figure 2. We can see that when M < 0.3, the reduction 336 337 in  $\frac{\rho}{\rho_0}$  is less than 5%, i.e., the air is approximately 338 incompressible. For higher values of M, the reduc-339 tion becomes quite substantial, and the air is bet-340 ter treated as compressible. However, if  $M \leq 0.3$ 341  $(\sim 100 \text{ m s}^{-1} \text{ for dry air at room temperature})$ , then 342 air can be approximated as a semi-rigid fluid, i.e., 343 energy transmission by pervection is plausible. 344



**Figure 2:** Changes in density ( $\rho$ ) with increasing fluid velocity (in terms of Mach number) for dry tropospheric air, i.e.,  $\gamma = 1.4$ . Adapted from Anderson, 1991's Figure 8.5[11].

### 2.3 Pervective energy transmission

We saw in Section 2.2 that, if the air composition 346 and temperature are constant and the velocity of the 347 air  $\leq$  Mach 0.3, it is nearly incompressible. Obvi-348 ously, the air temperature varies throughout the at-349 mosphere, e.g., temperature decreases with altitude 350 in the troposphere and increases with altitude in the 351 stratosphere. The air composition also varies, e.g., 352 due to changes in water content, or as we discuss in 353 Paper II<sup>[2]</sup>, multimerization of the bulk gases. So, 354 the density of air is not just dependent on air veloc-355 ity and pressure [2], i.e., it is a "baroclinic fluid"<sup>4</sup>. 356

Nonetheless, let us consider an arbitrary parcel of 357 air in the atmosphere, which receives extra energy 358 (e.g., by incoming solar radiation), thereby creating 359 an energy imbalance, relative to its surroundings. If 360 part of the atmosphere has more energy than its sur-361 roundings, then that extra energy will have a ten-362 dency to flow towards regions with less energy, i.e., 363 tending back towards thermodynamic equilibrium. 364 We suggest that provided that the extra energy does 365 **not** significantly alter the density profile of the sur-366 rounding air, then we can treat the surrounding air as 367 being *effectively* incompressible, at least with respect 368 to the extra energy. 369

If a fluid is incompressible, any energy that is added or subtracted to the fluid has to be in the form of mechanical energy (i.e., the internal energy of the system does not change). Therefore, a work trans-373

 $<sup>{}^{4}</sup>A$  fluid whose density depends on factors other than pressure is known as a "baroclinic" fluid, as opposed to a "barotropic" fluid.

fer mechanism, such as pervection, should be able 374 to transmit excess mechanical energy from one re-375 gion to another. We propose that pervection is a 376 significant mechanism for energy transmission in the 377 atmosphere. Unfortunately, unlike conduction, radi-378 ation and convection, humans do not seem to have 379 evolved senses for detecting pervection. This might 380 explain why it seems to have been overlooked until 381 now. However, in Section 3, we will describe exper-382 iments that can be used to demonstrate pervective 383 energy transmission. 384

# <sup>385</sup> 3 Experimental: Measurement <sup>386</sup> of pervection



**Figure 3:** Labelled photograph of our experimental setup.

The apparatus we used for the experiment in this study are shown in Figure 3. We used the following materials:

- Two 100 cm<sup>3</sup> graduated cylinders.
- A 10 cm<sup>3</sup> plastic syringe.
- About 100 m of 4 mm (internal diameter) plastic
  tube (we used 102.925 m) the "transmission
  tube"<sup>5</sup>.
- A short length of the same tube to connect the syringe to the cylinder (we used 1.185 m) - the "syringe injection tube".

- A glass jar, containing about 1L of water. We added some green food dye to the water for visual clarity. 400
- A ruler attached to the graduated cylinders for 401 measuring water levels 402
- A digital camera and tripod which could record 403 video, for frame-by-frame analysis. 404
- (Optional) A transparent plastic box for storing 405 the transmission tube. 406
- (Optional) A digital thermometer for measuring the air temperature at the time of experiment.
- (Optional) A polystyrene supporting block for 409 lifting the syringe into the view of the camera. 410

Throughout the experiment, the air temperature  $^{411}$  of the laboratory was in the range  $294.00 \pm 0.05$ K  $^{412}$  (20.85 $\pm$ 0.05°C) and the laboratory atmospheric pressure was  $1.00095 \times 10^5$  Pa.  $^{413}$ 

In one cylinder, we placed one end of the syringe 415 injection tube and one end of the transmission tube. 416 In the other cylinder, we placed the other end of the 417 transmission tube. The ends of the tubes were placed 418 *near* the base of the graduated cylinders<sup>6</sup>. The two 419 graduated cylinders, together with the tubes, were 420 then inverted and placed upside-down into the glass 421 jar. 422

In Figure 3, the graduated cylinder containing the syringe injection tube is on the left hand side of the photograph and the other cylinder is on the right hand side. For this reason, we will henceforth refer to the graduated cylinder with the syringe injection tube as the "left cylinder", and the graduated cylinder without a syringe injection tube as the "right cylinder".

Initially, there was no water in the two graduated 431 cylinders. Before attaching the syringe, we used the 432 syringe injection tube to suck some of the air out 433 of the left cylinder. This increased the water level 434 in the left cylinder. Temporarily covering the syringe 435 end of the tube with a finger, we waited a few seconds 436 until the water levels in both cylinders equilibrated 437 (by pervection). We then sucked some more air out 438 of the left cylinder, and repeated the process until 439 the water levels were at a suitable height. We chose 440 11.5 cm (0.115 m) above the water level in the jar as 441 a suitable height. This corresponded to air gaps of 442

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<sup>&</sup>lt;sup>5</sup>The transmission tube had an internal volume of  $1293 \text{ cm}^3$ .

<sup>&</sup>lt;sup>6</sup>Care was taken to ensure the tube inlets were not in actual contact with the cylinders, to avoid restricting the air flow.

<sup>443</sup> about 35 cm<sup>3</sup> at the top of both cylinders. We then <sup>444</sup> attached the syringe to the tube (with the plunger <sup>445</sup> extended to  $10 \text{ cm}^3$ ).

The basic idea behind this experiment is to mea-446 sure the transmission of mechanical energy from the 447 left cylinder to the right cylinder (and vice versa), 448 through the  $\sim 100$  m transmission tube. To ensure 449 that the only way for this energy to be transmitted 450 between the two cylinders is by the transmission tube, 451 the graduated cylinders were placed upside-down in 452 water. The water then traps the air at the top of 453 the graduated cylinders, i.e., the air gaps were not in 454 contact with the surrounding air. However, since we 455 placed the two ends of the transmission tube in these 456 air gaps, the two air gaps were still in contact with 457 each other via the 102.925 m transmission tube. We 458 also placed one end of the syringe injection tube into 459 the air gap of one of the cylinders, and attached the 460 other end to the syringe, which could then be used in 461 order to inject/extract air into/from the system. 462



**Figure 4:** Snapshots from our experiment demonstrating the changes in water level in the two cylinders which occur after the syringe handle is plunged.

Figure 4 demonstrates how the system behaved after we used the syringe to push a volume of air into the air gap at the top of the cylinder on the left. Before the syringe handle had been plunged (Figure 4a), the water levels in both cylinders were at the same height. After the syringe handle was plunged, an extra 10 cm<sup>3</sup> of air is pushed into the air gap of the left cylinder (Figure 4b). Because of the increase in 470 air pressure, the water level in the left cylinder fell. 471 The water level in the left cylinder then started to rise 472 again. Initially, there was no detectable change in the 473 water level of the right cylinder. But, after a short 474 lag, the water level in the right cylinder started to 475 fall (Figure 4c). Eventually, the water levels stopped 476 changing, and the water levels settled at a new equi-477 librium (Figure 4d). The reverse process could then 478 be carried out by extracting  $10 \text{ cm}^3$  of air from the 479 air gap using the syringe. 480

For the experiment in this study, we carried out 481 the following cycle five times: 482

- 1. Push air into air gap with syringe.
- 2. Wait approximately 30 s. 484
- 3. Extract air from air gap with syringe.
- 4. Wait approximately 30 s.

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The video footage of the experiment ( $\sim 5$  minutes duration) is available on-line as Supplementary Information at http://www.youtube.com/watch?v=d\_489 J1jr281as. 490

In our experiment, we used a digital camera to 491 monitor the changes in the water levels after using 492 the syringe in a video. The digital camera we used 493 was a Traveler UW 8 Outdoor-Sports camera. This 494 camera had a relatively low screen resolution for video 495 capture (640  $\times$  480 pixels, 30 frames s<sup>-1</sup>) compared 496 to other cameras on the market at the time of writ-497 ing. It was satisfactory for the purposes of this study, 498 but we would recommend a higher quality camera for 499 future research. 500

We then analysed this video using video editing software. We used a freeware computer program for Microsoft Windows called ImageGrab (developed by Paul Glagla). But, any video player/editor which allows frame-by-frame analysis should also work.

Clicking through the frames of the video, we recorded the changes in water level measured using the ruler, and the times at which they occurred for both cylinders. We also recorded the changes in volume for the syringe. During periods of rapid change, measurements were made frame-by-frame. However, when the changes were relatively slow, it was often sufficient to only take measurements second-bysecond.

In Section 4, we will present and discuss the results from this set of experiments. However, it 516 is worth first noting that the experiment described 517

above should be easily adaptable for measuring per-518 vection rates in other fluids. We suspect this could 519 be a productive avenue for future research. 520

If the fluid being tested is a gas then, in some cases, 521 the air in the two tubes (i.e., transmission tube and 522 syringe injection tube) and the graduated cylinders 523 could be simply replaced with the gas in question. 524 However, obviously, if the gas reacts with water, then 525 a more appropriate liquid should be used, and if the 526 gas reacts with plastic, then a more suitable material 527 for the tubes would probably be required. 528

If the fluid being tested is a liquid, then the same 529 530 apparatus could also be used with minor adjustments. For instance, to measure pervection in water, the 531 transmission tube could be filled with water<sup>7</sup>. How-532 ever, it might be appropriate to continue using air as 533 the medium for injecting/extracting mechanical en-534 ergy, i.e., maintain air gaps in the two graduated 535 cylinders and fill the syringe and syringe injection 536 tube with air. 537

#### Results 4 538

As the first step in our analysis of the results from our 539 experiment, we calculated the changes in air pressure 540 in both cylinders from the recorded water levels. The 541 air pressure in each cylinder at any time is equal to 542 the laboratory air pressure minus the pressure of the 543 raised water column. 544

The laboratory air pressure at the time of the ex-545 periment was  $P_{lab} = 1.00095 \times 10^5$  Pa. The pressure 546 exerted on the raised water column relative to the 547 laboratory air pressure is  $\rho_w gh$ , where  $\rho_w$  is the den-548 sity of water (998 kg m<sup>-3</sup> at 294.0 K) and h is the 549 height of the water level in the cylinders above the wa-550 ter level in the jar (in m). We were therefore able to 551 calculate the air pressures in the cylinders,  $P_{cul}$ , from 552 our measurements for h, using the following equation, 553 554

$$P_{cyl} = P_{lab} - \rho_w gh \tag{3}$$

At the start of the experiment, the water level in 555 both cylinders was 0.115 m above the water level in 556 the jar, corresponding to cylinder pressures of 98969 557 Pa. However, after injecting/extracting air from the 558 cylinders using the syringe, the air pressures in both 559

cylinders underwent a series of changes, as shown in 560 Figure 5. 561

After the syringe pushes air into the left cylinder 562 air gap, the pressure in the left cylinder increases, and 563 as a result the water level in the left cylinder rapidly 564 falls (Figure 4). Then, the pressure starts to decrease, 565 and the water level starts to rise again. After a brief 566 lag, the right cylinder pressure begins to increase, and 567 the water level in the right cylinder starts decreasing. 568 Eventually, the pressures (and, hence, water levels) 569 in both cylinders reach fairly constant values, and 570 the system returns to equilibrium, albeit a different 571 equilibrium from the one before the injection. We 572 can see from Figure 5 that extracting air from the left 573 cylinder with the syringe has similar effects, although 574 the changes in pressure (and, hence, water levels) are 575 of the opposite sign. 576

When the syringe injects or extracts air to/from 577 the left cylinder, there is a change in mechanical en-578 ergy, which can be seen visually by the changes in 579 water level (video footage of the experiment is pro-580 vided on-line as Supplementary Information at http: 581 //www.youtube.com/watch?v=d\_J1jr281as). How-582 ever, after a lag, changes in the water level also occur 583 in the right cylinder. Clearly, some energy is being 584 transmitted from the left cylinder to the right cylin-585 der. In this section, we will show that the conven-586 tional energy transmission mechanisms are actually 587 unable to explain this energy transmission, and ar-588 gue that the observed changes are due to pervection. 589

First, let us characterise the pressure behaviour in 590 more detail. The pressure behaviour in the cylinders is fairly similar for all five injection/extraction cycles 592 of the experiment. With this in mind, let us consider 593 in detail the pressure behaviour for the 30 s after the 594 first injection. 595

Figure 6 compares the pressures in the two cylinders during this period. Before the injection, the water levels and pressures are the same in both cylinders (98969 Pa). However, the pressure changes which occur after the injection are different in the two cylinders.

As soon as the syringe handle is plunged, the pres-602 sure in the left cylinder rapidly increases (regime L1) 603 on Figure 6). After 0.90 s, the pressure in the left 604 cylinder reaches a maximum (99136 Pa), and then 605 starts to decrease (regime L2). The rate of pressure 606 decrease is not linear, but the pressure does monoton-607 ically decrease. After about 10-11 s, the rate of pres-608 sure decrease has slowed down, and the left cylinder 609 pressure seems almost constant. But, at around 14-15 610

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<sup>&</sup>lt;sup>7</sup>In this study, we only consider pervection rates for air, but our preliminary measurements for water suggest that pervection is considerably slower in water than air, with one cycle taking at least 50 times as long to complete as the experiment described here, for a transmission tube of only 25m.



Figure 5: Air pressures in the left and right cylinders during the experiment, calculated from the measured water levels of each cylinder using Equation 3. The red dashed lines at roughly 30 s intervals correspond to the injection or extraction of 10 cm<sup>3</sup> air using the syringe.

s, another regime seems to start (regime L3), and the 611 pressure starts decreasing at a faster rate. By 28-30 612 s, the pressure seems to reach a fairly constant value 613 roughly halfway between the initial pressure and the 614 maximum pressure (99051 Pa). 615

As for the right cylinder, after the injection there 616 is a lag of 2.57 s during which there is no pressure 617 change. But, after this lag, the pressure begins in-618 creasing at an almost linear rate (regime R1), until 619 8-9 s. After this time, the pressure still continues 620 to increase, but at a slower linear rate (regime R2). 621 17.29 s after the injection, the pressure stops chang-622 ing and remains constant (99028 Pa) for the duration 623 of the period. 624

Due to the low resolution of the camera we used, 625 the accuracy with which we could estimate the water 626 levels in each frame of the video was somewhat lim-627 ited. With a higher resolution camera, it might be 628 possible to discern more gradual changes, and make 629

more accurate measurements. Nonetheless, the gen-630 eral features of the pressure changes in Figure 6 were 631 repeated after all of the injections, and similar fea-632 tures were observed after the extractions, although 633 of the opposite sign - see Figure 5. For this reason, 634 we believe that the presence of different "regimes" of 635 pressure changes is probably real and worthy of fur-636 ther investigation. We will return to a discussion of 637 these regimes at the end of this Section. 638

Let us now consider the energy changes in the sys-639 tem over the 30 s time period in Figure 6. When the 640 syringe injects  $10 \text{ cm}^3$  of air into the left cylinder, this pushes an equivalent volume of water out of the 642 cylinder into the jar.

The change in potential energy ( $\Delta PE$ ) associated with a change in the water levels in the cylinders  $(\Delta h)$ can be calculated from,

$$\Delta PE = mg\Delta h \tag{4}$$

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**Figure 6:** Changes in the air pressure in the two cylinders for the 30 s after the first injection with the syringe. L1/2/3 correspond to different regimes of pressure change in the left cylinder, while R1/2/3 correspond to different regimes for the right cylinder.

	Change in potential energy	
Time after	Left cylinder	Right cylinder
injection		
0.00 s	$0.0 \times 10^{-3} \text{ J}$	$0.0 \times 10^{-3} \text{ J}$
$0.90 \mathrm{\ s}$	$1.74{ imes}10^{-3}~{ m J}$	$0.0 \times 10^{-3} \text{ J}$
$17.29 \ s$	$1.04{ imes}10^{-3}~{ m J}$	$0.87 \times 10^{-3} \text{ J}$
$30.00 \mathrm{\ s}$	$0.87{ imes}10^{-3}~{ m J}$	$0.87{ imes}10^{-3} { m J}$

**Table 2:** Distribution of additional potential energy in both cylinders after injection for the time period discussed in Figure 6.

<sup>647</sup> Where m is the mass of the displaced water. Since we <sup>648</sup> know the density of water at 294.0K is 998 kg m<sup>-3</sup>, <sup>649</sup> we can calculate m from the volume of the displaced <sup>650</sup> water, which can be measured using the graduated <sup>651</sup> cylinders.

In this way, we can monitor the changes in poten-652 tial energy in the air gaps of both cylinders through-653 out the cycle. Table 2 lists these changes at various 654 times during the part of the experiment in Figure 6. 655 We can see from Table 2 that 17.29 s after injection, 656  $8.7 \times 10^{-4}$  J of energy has been transmitted from the 657 left cylinder to the right cylinder. Could this have 658 occurred via conduction, convection or radiation? 659

In order for the energy to be transferred from the left cylinder to the right cylinder by conduction, there

must be a temperature difference between the two 662 cylinders,  $\Delta T$ . Before the syringe was injected, the 663 air in both cylinders would have been at the labo-664 ratory temperature,  $T_1=294.0$  K. However, when the 665 syringe was injected, this supplied extra energy to the 666 left cylinder (in the form of work). Some of this en-667 ergy would have been converted into thermal energy. 668 thereby slightly raising the temperature of the air in 669 the left cylinder. 670

We can calculate the change in the left cylinder air 671 temperature after the injection using the ideal gas 672 law, PV = nRT. Let us define  $t_1$  as the time before 673 injection and  $t_2 = 0.90$  s as the time at which all of 674 the air in the syringe had been injected into the left 675 cylinder. Although the volume of air in the air gap 676 of the left cylinder increased after the syringe handle 677 was plunged, the air gap was only separated from the 678 syringe by the 1.2 m syringe tube. So, if we treat 679 the total volume of air in the left cylinder and the 680 syringe tube and the syringe as a single volume, V, 681 then the volume at times  $t_1$  and  $t_2$  was the same, i.e., 682  $V_1 = V_2 = V$ . Similarly,  $n_1 = n_2 = n$ . Hence, it is 683 only the changes in P and T that are relevant. 684

Since  $P_1V = nRT_1$  and  $P_2V = nRT_2$ , this means that,

$$\frac{P_1}{T_1} = \frac{nR}{V} = \frac{P_2}{T_2}$$
(5)

Rearranging, this yields,

$$T_2 = \frac{T_1 P_2}{P_1}$$
(6)

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$$T_2 = \frac{(294.0)(99136)}{(98969)} = 294.5 \text{K}$$
(7)

$$\Delta T = T_2 - T_1 = 294.5 - 294.0 = 0.5 \text{K} \tag{8}$$

For a temperature difference of  $\Delta T = 0.5$  K between the left and right cylinders, the average temperature gradient ( $\nabla T$ ) along the transmission tube (of length, l=102.925 m) is, 693

$$\nabla T = \frac{\Delta T}{l} = \frac{0.5}{102.925} = 4.86 \times 10^{-3} \text{K m}^{-1} \quad (9)$$

According to Fourier's law of heat conduction, the rate of thermal conduction along a temperature gradient, i.e., the power rate, Q, is, 694

$$Q = -kA\nabla T \tag{10}$$

A is the cross-sectional area through which the energy is transmitted, and in this case is the cross-sectional

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area of the interior of the transmission tube, i.e.,  $A = 1.25 \times 10^{-5} \text{ m}^2$ . k is the conductivity of the material, in this case air. For wet air,  $k = 0.028 \text{ W m}^{-1} \text{ K}^{-1}$ , while for dry air,  $k = 0.025 \text{ W m}^{-1} \text{ K}^{-1}$ . If we use the highest value (wet air), this still only gives us a power rate of  $Q = -(0.028)(1.25 \times 10^{-5})(4.86 \times 10^{-3}) = -1.85 \times 10^{-9} \text{ W}$ .

At this rate, the length of time it would take to transmit  $8.7 \times 10^{-4}$  J from the left cylinder to the right cylinder by conduction along the transmission tube would be,

Time taken = 
$$\frac{8.7 \times 10^{-4}}{1.85 \times 10^{-9}} = 4.7 \times 10^5 s$$
 (11)

 $_{710}$  4.7 × 10<sup>5</sup> s is approximately 5.4 days, which is obviously considerably longer than the 17.29 s actually observed. Therefore, we conclude that the contribution of conduction to the observed energy transmisris sion is negligible.

Now let us consider energy transmission via radi-715 ation. Radiation can only travel around corners if it 716 is reflected. It can be seen from Figure 3 that the 717 transmission tube was coiled on itself many times in 718 order to fit the  $\sim 100$ m of tube into the storage box. 719 So, the amount of energy transmitted from the left 720 cylinder to the right cylinder *along* the transmission 721 tube must have been negligible. 722

One might argue that some of the energy could be 723 transmitted by radiation directly from the left cylin-724 der to the right cylinder, since they were placed be-725 side each other in the same water jar. However, there 726 are several problems with this suggestion. For in-727 stance, it is true that, if the air in the left cylinder 728 heats up, some of the increase in thermal energy will 729 be lost to its surroundings by radiation. But, there is 730 no reason why that lost thermal energy would *prefer*-731 *entially* be absorbed by the air in the right cylinder. 732 In other words, radiative cooling of the left cylinder 733 cannot explain how the energy is transmitted to the 734 right cylinder. Also, in earlier versions of our experi-735 ment, the left and right cylinders were kept far apart, 736 but we obtained similar results. 737

The only remaining *conventional* mechanisms for 738 energy transmission in air are the convection mech-739 anisms. For energy to be transmitted by convection 740 (whether enthalpic or kinetic), the energy must be 741 accompanied by mass flow (Table 1). So, we can esti-742 mate an upper bound for the time taken to transmit 743 the energy by convection, by estimating the maxi-744 mum mass flow rates. 745

After the energy has been transmitted to the right cylinder, the volume of air in the right cylinder has increased by about half of the volume of air injected by the syringe, i.e.,  $\sim 5 \text{ cm}^3$ . Let us suppose that 5.0 (749) cm<sup>3</sup> of air was physically transported directly from the left cylinder over the course of the 17.29 s. If this were the case, then the average volume of air leaving the left cylinder would be, (753)

Volume leaving 
$$=\frac{5.0}{17.29} = 0.29 \text{cm}^3 \text{s}^{-1}$$
 (12)

In S.I. units, the volume leaving would be  $2.9 \times 10^{-7} \text{m}^3 \text{s}^{-1}$ . Since the internal area of the transmission tube is  $1.25 \times 10^{-5} \text{m}^2$ , the average velocity of the air leaving the left cylinder (v) would be, 757

$$v = \frac{2.9 \times 10^{-7}}{1.25 \times 10^{-5}} = 2.32 \times 10^{-2} \text{m s}^{-1}$$
(13)

At this speed, it would take 4436 s (~74 minutes) 758 for the air to physically travel the full length of the 759 102.925 m transmission tube. Clearly this is too slow 760 to explain the transmission of the energy from the 761 left cylinder to the right cylinder by convection. 762

From Table 1, this leaves us with pervection. Like 763 in our analogy of the Newton's cradle (Figure 1) and 764 the stonemason's chisel, energy can be transmitted 765 from the left cylinder to the right cylinder along the 766 transmission tube without the air mass itself having 767 to be transferred. Instead, the energy is transmitted 768 *through* the air mass. 769

Now that we have established that pervection is probably the main mechanism by which energy is transmitted from the left cylinder to the right cylinder in our experiment, let us consider what our experiment reveals about pervection.

As we discussed earlier, we can see from Figure 6 that the rates of pressure change in the cylinders go through several "regimes" before equilibration is reached.

After the initial increase in the pressure in the left 779 cylinder (regime L1 in Figure 6), the pressure de-780 creases until it reaches a value halfway between the 781 initial and maximum pressures. In the right cylin-782 der, the pressure increases until it reaches a pres-783 sure slightly less than the final left cylinder pressure. 784 That is, the pressures in both cylinders tend towards 785 their new equilibrium values. However, the process 786 by which the cylinders reach the new equilibrium is 787 different for the left and right cylinders. 788

One difference between the two cylinders is that the change in pressure with time is reasonably linear for the right cylinder (although the slope of the line is different for regimes R1 and R2), while the changes for the left cylinder are quite non-linear.

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A possible explanation for the non-linear changes 794 in the left cylinder could be due to the interchange 795 between thermal and mechanical energy. We men-796 tioned earlier that when the air is injected into the 797 left cylinder by the syringe, some of the mechanical 798 energy is converted into thermal energy. Pervection 799 transmits mechanical energy and not thermal energy. 800 Therefore, most of this thermal energy probably re-801 mains in the left cylinder. Some of this thermal en-802 ergy might be lost to the surroundings, e.g., by radia-803 tive cooling, and some of the thermal energy might 804 be reconverted back into mechanical energy. Both of 805 these processes could explain the non-linear pressure 806 changes associated with the left cylinder. 807

Now let us consider the pressure changes in the right cylinder. There is a lag of a few seconds after the air is injected by the syringe before mechanical energy starts to reach the cylinder on the right. We list the lags for all five of the cycles in Table 3.

We can see that on average the lag is  $2.61 \pm 0.06$  s. 813 This means that pervection was not able to transmit 814 the mechanical energy to the right cylinder any faster 815 than that. Since we know that the transmission tube 816 connecting the left cylinder to the right cylinder is 817 102.925m long, this gives us an upper bound for the 818 speed of pervective transmission in air of  $39.4 \pm 0.9$ 819  ${\rm m}~{\rm s}^{-1}$ . 820

Although the pressure in the right cylinder in-821 creases during both the R1 and R2 regimes, the rate 822 of increase drops sharply at the transition between 823 the two regimes. As we mentioned above, the rate 824 of increase in both regimes seem reasonably linear. 825 This suggests the possibility that there is a funda-826 mental change in the energy transmission mechanism 827 which is associated with this transition. 828

Muriel and others have argued that the laminar-829 turbulent transition with increasing velocity for fluid 830 flow in a pipe is quantum in nature (e.g., see Refs. 831 [12–15] and references therein). They argue that lam-832 833 inar flow occurs when the average kinetic energy of the molecules is too low to cause inelastic collisions. 834 But, once the molecules gain sufficient kinetic en-835 ergy to excite at least one of the non-translational 836 degrees of freedom of the particles (e.g., rotational 837 or vibrational degrees of freedom), inelastic collisions 838 can take place. The quantum theory for the laminar-839 turbulent transition argues that turbulent flow oc-840 curs once the average kinetic energy of the molecules 841 reaches this threshold. 842

The quantum theory for the laminar-turbulent transition has been controversial, e.g., Refs. [16,

After injection				
Cycle	Peak of left	Start of right		
	cylinder	cylinder rise		
1	0.90 s	2.57 s		
2	0.87 s	2.77 s		
3	0.81 s	$2.57 \mathrm{~s}$		
4	0.84 s	2.73 s		
5	0.61 s	2.43 s		
Mean	0.81 s	2.61 s		
S.E.	$0.05 \mathrm{~s}$	0.06 s		
	After extraction			
Cycle	Trough of left	Start of right		
	cylinder	cylinder fall		
1	2.19 s	3.08 s		
2	0.84 s	3.30 s		
3	0.84 s	$3.83 \mathrm{s}$		
4	1.10 s	3.73 s		
5	1.68 s	3.73 s		
Mean	1.33 s	3.54 s		
SE	0.26 s	0.15 s		

**Table 3:** The top part of the table lists the times after injection at which the left cylinder pressure reached its maximum, and the lag before the right cylinder pressure began to change for the experiment described in Figure 5. The bottom part of the table lists the equivalent times for the parts of the cycles after extraction.

17]. Nonetheless, we suggest that a similar transition could be associated with pervection. Perhaps the sharp decrease in the rate of pressure increase for R2 relative to R1 is due to such a transition.

Let us consider a fluid which does not undergo any 849 change in phase, chemistry or composition and is at 850 a constant temperature. The density of this fluid is a 851 function of the translational energy of the particles. 852 If all of the particles are only interacting with each 853 other via *elastic collisions*, then this translational en-854 ergy will remain constant, and the fluid will be in-855 compressible. Therefore, if the fluid is compressible, 856 some of the particles must be involved in *inelastic* 857 collisions. As we discussed in Section 2.3, pervec-858 tion acts through incompressible fluids<sup>8</sup>. So, there 859

<sup>&</sup>lt;sup>8</sup>Unlike pervection, sound requires a compressible fluid for transmission. In some senses, sound might be considered a compressible version of pervection. However, the speed of sound is relatively fast (i.e., M = 1), and the amounts of energy transmitted throughout the atmosphere by sonic energy transmission are generally quite small, except perhaps when there is a loud noise.

may also be a similar transition between pervective transmission and non-pervective transmission to the

transmission and non-pervecti
laminar-turbulent transition.

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In that context, it is interesting that Novopashin & 863 Muriel, 2002[15] found the laminar-turbulent transi-864 tion for nitrogen to correspond to a Mach number of 865 0.105. The upper bound for pervective transmission 866 in air of  $39.4 \pm 0.9 \text{ m s}^{-1}$  that we calculated above 867 corresponds to a Mach number of  $\simeq 0.11$  (from Equa-868 tion 1), since the speed of sound is  $343.2 \text{ m s}^{-1}$  for 869 dry air at room temperature. These values seem quite 870 similar, and it is possible that they are related. 871

## 872 5 Discussion

The experimental results in Section 4 suggest that pervection could be a major mechanism for energy transmission in the atmosphere. Indeed, in our laboratory experiment for pervection in air, energy transmission by pervection was orders of magnitude faster than either convection *or* radiation.

Obviously, under different conditions than those 879 in our experiment, the relative rates of pervection, 880 convection and radiation will vary. So, the results 881 described in this article only mark the beginning in 882 understanding the relative roles of the three mech-883 anisms in distributing energy throughout the atmo-884 sphere. However, even at this stage, it seems appar-885 ent that pervection plays an important role (at the 886 very least) in atmospheric energy distribution. 887

With this in mind, it is a serious concern that per-888 vection, until now, appears to have been neglected 889 from the conventional textbook descriptions of the 890 physics and dynamics of the Earth's atmosphere, e.g., 891 Barry & Chorley, 2009[18]. Instead, the current de-892 scriptions of energy transport throughout the atmo-893 sphere are dominated by radiation and convection 894 (enthalpic and kinetic). 895

We must stress that we agree both radiation and 896 convection are important mechanisms within the at-897 mosphere. However, since the current descriptions of 898 atmospheric energy transport do not even consider 899 the role of pervection, it is guite likely that many 900 of the current theories are inadequate, or even plain 901 wrong. For this reason, it may be necessary to revisit 902 many of the assumptions in the so-called "textbook" 903 explanations for atmospheric phenomena that many 904 of us have learnt (or even taught). We note that more 905 than two decades ago, Lorenz, 1991 anticipated the 906 possibility that improving our understanding of en-907 ergy transport within the Earth's atmosphere might 908

well involve a revisiting of our fundamental assumptions [19].

As well as revisiting our theories to describe the 911 physics of the atmosphere, we will probably have to 912 reassess our current climate models (usually called 913 Global Climate Models, or GCMs for short). The cur-914 rent climate models are, of course, based on the same 915 "textbook" theories for atmospheric energy transport 916 we mentioned above. So, they also assume that en-917 ergy transmission is dominated by convection and ra-918 diation, and neglect pervection, e.g., see Edwards, 919 2011 for a review of the historical development of cli-920 mate models<sup>[5]</sup> and Neelin, 2011 for a useful text-921 book introduction to current climate modelling tech-922 niques<sup>[20]</sup>. Therefore, the current Global Climate 923 Models will probably require a major overhaul, in 924 order to adequately account for pervection. For this 925 reason, we suspect that many of the climate model 926 results up to now will need to be discarded. 927

In recent years, climate models and their results 928 have played a major role in climate science, partic-929 ularly for studying climate change. For instance, 930 in the 4th Assessment Report of Working Group 1 931 of the Intergovernmental Panel on Climate Change 932 (IPCC)<sup>[21]</sup>, four of the eleven chapters (Chapters 8-933 11) are devoted exclusively to the discussion of cli-934 mate models and their results. In each of the remain-935 ing seven chapters, climate model results comprise a 936 substantial part of the discussion<sup>9</sup>. The purpose of 937 that IPCC report was to describe "... those aspects 938 of the current understanding of the physical science 939 of climate change that are judged to be most relevant 940 to policymakers"[21]. Therefore it seems that much 941 of the current understanding of climate change rele-942 vant to policymakers is based on the results of climate 943 models, and as a result, might need to be revisited. 944

It is disappointing that such a large body of work 945 looks like it will need to be revisited. However, we 946 also see room for optimism. If new models can be 947 developed (or old models updated) to account for 948 pervection, then they should provide more realistic 949 results. These new models might significantly im-950 prove our understanding of the climate, and climate 951 change. 952

As a first step in revisiting the conventional descriptions for atmospheric energy transport, let us consider the implications pervection has for a few aspects of atmospheric physics.

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<sup>&</sup>lt;sup>9</sup>At the time of writing (October 2013), an on-line draft of the 5th Assessment Report had been just released. From our initial reading, it appears that discussions of climate models and their results played a similarly large role in the 5th report.



## <sup>957</sup> 5.1 Revisiting the poleward energy transport problem

Figure 7: Annual mean net radiative flux (outgoing longwave radiation - incoming shortwave radiation) at the "Top Of Atmosphere" (tropopause/stratosphere), as estimated by the Earth Radiation Budget Experiment (ERBE) satellite over the period January 1986-December 1988. Data obtained from the on-line supplement for Pierrehumbert, 2011b[22] at http://geosci.uchicago.edu/~rtp1/ PrinciplesPlanetaryClimate/index.html.

An example of a problem which has, until now, 959 been considered only in terms of convection (en-960 thalpic and kinetic) is the so-called "poleward en-961 ergy transport problem". Figure 7 shows the zonally-962 averaged annual mean net radiative flux at different 963 latitudes, as measured by the Earth Radiation Bud-964 get Experiment (ERBE) satellite over the period Jan-965 uary 1986-December 1988. 966

We can see that, averaged over the year, the poles 967 emit more radiation than they absorb, while the trop-968 ics emit less radiation than they absorb. This means 969 that some of the energy that is absorbed in the tropics 970 throughout the year must be somehow transported 971 towards the poles. Figure 8 shows an estimate of 972 the average poleward energy transport (also called 973 "meridional heat transport") which is required to ac-974 count for the different radiative fluxes at each lati-975 tude (adapted from Trenberth & Caron, 2001's Fig-976 ure 2[23]). 977

Until now, it has been assumed that most of this energy must be transported through convection (either enthalpic or kinetic). Some of the energy might be transported through the ground by conduction or through surface and groundwater transport[23]. But, it is generally believed that it mostly occurs through



**Figure 8:** Estimated poleward energy transport required to account for the net radiative flux in Figure 7. Adapted from Trenberth & Caron, 2001's Figure 2[23]. Positive values correspond to northward transport, while negative values correspond to southward transport

convection by the atmosphere[19, 24, 25] as well as the oceans[26–31]. Several studies have attempted to estimate the relative ratio of the oceanic and atmospheric convective components to this poleward transport, e.g., Trenberth & Caron, 2001[23]; Held, 2001[32]; Huang, 2005[33]; Wunsch, 2005[34]; Czaja & Marshall, 2006[35]; Fasullo & Trenberth, 2008[36].

We agree that convection probably plays a major role in poleward energy transport. However, it is plausible that pervective processes may *also* be involved in the poleward transport. If this is so, then some fraction of the required transport in Figure 8 which has previously been attributed to convection is probably a result of pervection instead. 991

The poleward energy transport problem is of in-995 terest, not only because it tells us about how energy 999 is transported throughout the atmosphere, but be-1000 cause of its relevance for long-term climate change. 1001 As summarised by Lindzen, 1994[37], changes in 1002 globally-averaged surface temperatures often seem to 1003 be greater at the poles than at the equator, a phe-1004 nomenon known as "polar amplification" [38, 39]. Es-1005 sentially, during periods of global cooling, the cooling 1006 often seems to be greatest at the poles, and during 1007 periods of global warming, the warming also seems 1008 to be greatest at the poles. 1009

There are several possible factors which might be involved in polar amplification. For instance, warming or cooling at the poles could cause changes in sea ice, ground ice or cloud cover, leading to feedback processes which might amplify the original tempera-1014

ture changes [39]. Perhaps the amplification is related 1015 to changes in the incoming solar radiation [37]. Soon 1016 & Legates, 2013 have found that the equator-pole 1017 temperature gradient is inversely proportional to so-1018 lar activity (at least in the Northern Hemisphere) [40]. 1019 However, another potential factor would be if the 1020 poleward energy transport mechanisms change over 1021 time. If this latter factor is substantial, then under-1022 standing the ratios between pervection and convec-1023 tion in poleward energy transport might help us to 1024 better understand the causes of long term climate 1025 changes. 1026

### 5.2Revisiting the local 1027 thermodynamic equilibrium 1028 assumption 1029

As we mentioned in Section 1, the current atmo-1030 spheric models assume that energy is mostly trans-1031 ported throughout the atmosphere by either convec-1032 tion or radiation. The rates of energy transport via 1033 convection [19, 23–25, 32–36] do not appear to be 1034 rapid enough to keep the atmosphere in thermody-1035 namic equilibrium. As a result, the current models 1036 assume that the atmosphere is only in *local* thermo-1037 dynamic equilibrium. 1038

The distance from the bottom of the troposphere 1039 to the top of the stratosphere is only about 50km. 1040 Our experiments in this article suggest that pervec-1041 tion can transmit energy at speeds of  $\sim 39.4 \pm 0.9$ 1042 m s<sup>-1</sup>. Therefore, it should only take about 21 min-1043 utes (1269 s) for pervection to transport excess en-1044 ergy from the bottom of the troposphere to the top of 1045 the stratosphere, or vice versa. In other words, per-1046 vective transport seems to be fast enough to main-1047 tain thermodynamic equilibrium over the required 1048 distances. This is in keeping with our results from 1049 Papers I[1] and II[2] which suggested that the tro-1050 posphere/tropopause/stratosphere are in thermody-1051 namic equilibrium. 1052

Having said that, over longer distances, pervective 1053 transport might not be fast enough to maintain ther-1054 modynamic equilibrium. For instance, the equator-1055 to-pole distance (which we discussed in Section 5.1) 1056 is  $10^7$  m. If pervection transmits energy at a speed 1057 of  $39.4 \text{ m s}^{-1}$ , to cover such a distance it would take 1058  $\sim 254000$  s, i.e.,  $\sim 70.5$  hours (nearly 3 days). So, 1059 probably, the equator is *not* in thermodynamic equi-1060 librium with the poles. This is not too surprising, 1061 since we know that the poles are considerably colder 1062 than the tropics. 1063

### Is the climate "sensitive" to 5.31064 infra-red active gas concentrations? 1066

A consequence of the current climate models assum-1067 ing the atmosphere is in local thermodynamic equilib-1068 rium is that they also assume that atmospheric tem-1069 perature profiles are strongly dictated by radiative 1070 physics, specifically the rates of infra-red cooling[41] 1071 and radiative heating [42]. Indeed, current climate 1072 models are only able to simulate long-term climate 1073 changes by altering the "radiative forcing" of the sys-1074 tem[43-46], where the radiative forcing is an estimate 1075 of the net impact that a factor has on the radiative 1076 flux of the atmosphere. 1077

The types of factors which are assumed to alter 1078 the radiative forcing are changes in (1) the incoming 1079 solar radiation, (2) amounts and types of reflective 1080 substances in the atmosphere (e.g., aerosols, clouds) 1081 or on the Earth's surface (e.g., ice, vegetation), or 1082 (3) in the atmospheric distribution and total concen-1083 trations of infra-red active gases (e.g., water vapour, 1084 carbon dioxide, ozone, methane)[43]. 1085

An implied corollary of this approach to climate 1086 modelling is that changes in the average concentra-1087 tions of infra-red active gases ("greenhouse gases") 1088 would substantially alter the atmospheric tempera-1089 ture profile of the Earth's atmosphere. This has led to 1090 considerable concern<sup>[47]</sup> that increasing atmospheric 1091 concentrations of carbon dioxide from fossil fuel us-1092 age will lead to (or has already led to) an increase 1093 in the average temperature of the troposphere ("an-1094 thropogenic global warming") and a corresponding de-1095 crease in stratospheric temperatures ("stratospheric 1096 cooling"). As a result, a substantial amount of re-1097 search has been carried out in an attempt to estimate 1098 the so-called "climate sensitivity" [37, 44–46], which 1099 is typically defined as the expected increase in the 1100 global average surface temperature for a doubling of 1101 atmospheric carbon dioxide, e.g., see Edwards et al., 1102 2007[48] for a review of 2001-2007 studies, and Refs. 1103 [46, 49–55] and references therein for some more re-1104 cent studies. 1105

The widespread popularity of the assumption that 1106 increasing atmospheric carbon dioxide concentrations 1107 must cause at least some global warming is ap-1108 parent from the fact that the term "climate sen-1109 sitivity" is explicitly *defined* in terms of the ex-1110 pected global warming from increases in atmo-1111 spheric carbon dioxide. However, the concentration 1112 of infra-red active gases can only alter the tropo-1113

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sphere/tropopause/stratosphere temperature profiles
if the atmosphere is in *local* thermodynamic equilibrium[3]. The radiative properties of the atmospheric
gases are only relevant if prolonged radiative imbalances can occur.

If the atmosphere is in thermodynamic equilib-1119 rium, then any local energy imbalances that are 1120 formed by radiative processes will be rapidly redis-1121 tributed throughout the atmosphere. The proposed 1122 "greenhouse effect" is in fact a series of energy im-1123 balances at different altitudes of the atmosphere, i.e., 1124 the troposphere is supposed to be heated at the ex-1125 pense of the tropopause/stratosphere. So, if the at-1126 mosphere is in thermodynamic equilibrium, then it 1127 cannot exist. 1128

As we mentioned in Section 5.2, our analysis sug-1129 gests that pervective transport is fast enough to keep 1130 the troposphere, tropopause and stratosphere in ther-1131 modynamic equilibrium. With this in mind, we sug-1132 gest that the so-called "climate sensitivity" is exactly 1133 zero, i.e., doubling the relative concentration of infra-1134 red active gases in the atmosphere will *not* alter the 1135 atmospheric temperature profile. 1136

## <sup>1137</sup> 6 Conclusions and further<sup>1138</sup> research

In this article, we identified and characterised a 1139 previously-overlooked energy transmission mecha-1140 nism which can take place in the atmosphere. This 1141 mechanism, which we named "pervection" involves 1142 the *through-mass* transmission of mechanical energy. 1143 It is different from the convection mechanisms which 1144 are with-mass energy transmission mechanisms, i.e., 1145 they require mass to be transported along with en-1146 ergy. It is also different from the through-mass mech-1147 anism of conduction. in that conduction involves the 1148 transmission of thermal energy. 1149

We devised a simple laboratory set-up to investigate the rates of pervection through a ~100m plastic tube. Under these (admittedly fairly specific) laboratory conditions, energy transmission via pervection was orders of magnitude faster than by radiation, conduction or convection.

In the atmosphere, changes in temperature, or in
the phase, chemistry and composition of different regions of the atmosphere might act to reduce the rates
of pervective energy transport. An important avenue
for future research will be investigating how these factors affect pervection.

Nonetheless, in the current textbook descriptions 1162 of atmospheric physics, energy transport throughout 1163 the atmosphere is assumed to be dominated by con-1164 vection and radiation. In other words, pervection 1165 has been neglected. For this reason, we suspect that 1166 many of the so-called "textbook" explanations for dif-1167 ferent atmospheric phenomena might need to be re-1168 visited to take into account pervection. 1169

Similarly, energy transport in the current Global 1170 Climate Models is predominantly modelled in terms 1171 of radiation and convection, and neglects the role of 1172 pervection. For this reason, the current climate mod-1173 els will probably require a major overhaul, and many 1174 of the climate model results up to now may need to 1175 be discarded. This has implications for policymak-1176 ers who have been devising policy approaches on the 1177 basis of reports by groups such as the Intergovern-1178 mental Panel on Climate Change (IPCC)<sup>[21]</sup>, since 1179 these reports rely heavily on climate model results. 1180

А fundamental assumption in the cur-1181 rent atmospheric models is that the tropo-1182 sphere/tropopause/stratosphere are only in *local* 1183 thermodynamic equilibrium, since energy trans-1184 mission by convection is not sufficient to maintain 1185 thermodynamic equilibrium[3]. This assumption 1186 has led to the belief that atmospheric temperatures 1187 are strongly influenced by radiative processes. In 1188 turn, this has led to the expectation that increasing 1189 the relative atmospheric concentrations of infra-red 1190 active gases (e.g., water vapour, carbon dioxide) 1191 would lead to "global warming" of the troposphere 1192 along with global "stratospheric cooling" [41]. How-1193 ever, in Papers I[1] and II[2], we found that the 1194 troposphere/tropopause/stratosphere are actu-1195 ally in thermodynamic equilibrium, not just local 1196 thermodynamic equilibrium. 1197

Our preliminary measurements of pervection sug-1198 gest that it is a rapid enough energy transmission 1199 mechanism to keep the troposphere in thermody-1200 namic equilibrium with the tropopause and strato-1201 sphere. So, this could explain why the current atmo-1202 spheric models (which neglect pervection) appear to 1203 be contradicted by the data. However, pervection is 1204 probably too slow to maintain thermodynamic equi-1205 librium over longer distances, such as the distance 1206 from the equator to the poles. It would be inter-1207 esting to investigate the maximum distances and re-1208 gions over which thermodynamic equilibrium condi-1209 tions hold for the atmosphere. 1210

Our study in this paper was mainly just an exploratory assessment of pervection, and further re-

search is necessary to develop a more comprehensive 1213 characterisation of the energy transmission mecha-1214 nism. In particular, we note that the rates of per-1215 vection were not constant in our experiment, which 1216 suggests that several factors are involved in the trans-1217 mission of energy by pervection. 1218

In general, we would expect that atmospheric 1219 changes which reduce the incompressibility of air 1220 would limit the rates of pervective energy trans-1221 port. If the average kinetic energy of air becomes 1222 large enough to activate non-translational degrees of 1223 freedom (i.e., rotational/vibrational degrees of free-1224 dom), this reduces the incompressibility of air. For 1225 this reason, we suspect that quantum effects may be 1226 important for pervection, and so the study of per-1227 vection may involve parallels with the debate over 1228 whether the laminar/turbulent transition for fluid 1229 flow in pipes is classical or quantum in nature [12]. 1230

The experimental set-up we used in this study 1231 could be easily adapted to investigate pervection 1232 rates in other fluids, either liquid or gas. Determining 1233 how pervection in air compares and contrasts with 1234 pervection in other fluids might provide further in-1235 sight into the pervection mechanism. 1236

1237 Determining pervection rates in the oceans might help to address some of the questions on energy distri-1238 bution and transmission in the oceans which have not 1239 yet been satisfactorily resolved, e.g., Wunsch & Fer-1240 rari, 2004[56]. With this in mind, it is worth noting 1241 that our preliminary (unpublished) investigations sug-1242 gest that pervection in water is considerably slower 1243 than in air, but is not insignificant. 1244

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